



Please type a plus sign (+) inside this box →

PTO/SB/21 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

8/18  
8/13  
02-13-2001

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

28

Application	09/940,109
Filing Date	August 27, 2001
First Named	Echols, et al.
Group Art Unit	2878
Examiner Name	na
Total Number of Pages in This Submission	28
Attorney Docket Number	8257/29

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):  <input type="checkbox"/> Post Acknowledgment Card
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert J. Veal, Reg. No. 30,895 BURR & FORMAN LLP
Signature	
Date	November 21, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

11/21/01

Typed or printed name      Barbara Weidman

Signature Date 11/21/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Stanley D. Echols and  
Ronald Rahn

Filed: August 27, 2001

Serial No.: 09/940,109

For: METHOD AND APPARATUS FOR UV  
MEASUREMENT

) Art Unit: 2878

BURR & FORMAN LLP  
Post Office Box 830719  
Birmingham, Alabama 35283-0719  
November 21, 2001

Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This letter is an information disclosure statement (IDS) pursuant to 37 C.F.R. § 1.97 and § 1.98 and is being submitted prior to the receipt of a first Office Action. The patents listed below may be considered relevant in determining whether the subject matter claimed in the above-referenced application is patentable:

<b>U.S. Pat. No.</b>	<b>Patentee</b>	<b>Issue Date</b>
5,036,311	Moran, et al.	July 30, 1991
5,436,115	Mullis	July 25, 1995

Respectfully submitted,

  
Robert J. Veal  
Reg. No. 30,895  
205-458-5365